

January 14, 2022

<b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773</b>	<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC</b>
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Dear Sir/Madam,

**Sub: Statement of Grievance Redressal Mechanism for the quarter ended December 31, 2021**

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith statement of investor complaints for the quarter ended December 31, 2021.

Kindly take the above on record and oblige.

Thanking You,  
Yours faithfully,

**For IIFL Securities Limited**



**Meghal Shah**  
**Company Secretary**  
Email: [secretarial@iifl.com](mailto:secretarial@iifl.com)

Encl: as above

**IIFL Securities Limited**

Corporate Identity Number: **L99999MH1996PLC132983**

**Regd. Office:** IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604  
Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: [secretarial@iifl.com](mailto:secretarial@iifl.com) • Website: [www.iiflsecurities.com](http://www.iiflsecurities.com)

January 14, 2022

Statement of Grievance Redressal Mechanism for the quarter ended December 31, 2021					
Security Holders Complaints.					
Sr. No.	Description of Subjects	Opening Balance	Received	Attended	Pending
1	Non Receipt of dividend/Interest/Redemption Warrant.	0	0	0	0
2	Non Receipt of Annual Reports	0	0	0	0
3	Non Receipt of Securities	0	0	0	0
4	Non Receipt of Refund Order	0	0	0	0
5	SEBI-Scores	0	0	0	0
	<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

*\*Company has sought necessary explanation from the complainant and the same is awaited.*

For IIFL Securities Limited




**Meghal Shah**

**Company Secretary**

Email: [secretarial@iifl.com](mailto:secretarial@iifl.com)

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Date: 13.01.2022

To,  
Company Secretary  
IIFL Securities Limited  
Plot No. B - 23, IIFL House, Sun Infotech Park,  
Road No - 16V, Thane Industrial Area, Wagle Estate,  
Thane, Maharashtra, 400604

Sub : Investor Grievance Report for the period from 01.10.2021 to 31.12.2021

We are the Registrar and Transfer Agent for **IIFL Securities Limited**

Statement of Investor complaints for the quarter ended 31<sup>st</sup> December, 2021 under Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is to certify that we have received NIL complaint letters from the shareholders for the period 01.10.2021 to 31.12.2021

The details of the complaints are given below.

Particulars	Quarter ended 31 <sup>st</sup> December , 2021
Investor Complaints:	
Pending at the beginning of the quarter	Nil
Received during the quarter – BSE Complaint	Nil
Disposed of during the quarter	Nil
Remaining unresolved at the end of the quarter	Nil

\* Further, Register of Members has been updated as on 31-12-2021.

This is for your information.

For Link Intime India Private Ltd.



Authorized Signatory