ANNEXURE O
TRANSMISSION FORM

DP ID - 302269

Date

To,
IIFL Securities Limited,
601, Ackruti Centre Point,
Central Road,
Marol MIDC, Andheri (East),
Mumbai- 400093, Maharashtra

(1) I/We, the undersigned, being the

Executor(s) of the Will
Legal heir(s)
Administrator(s) of the Estate
Joint holder(s)
Successor(s) to the Estate
Nominee
Survivors of HUF

of Mr./Mrs./Ms. __________________________, Mr./Mrs./Ms. __________________________, Mr./Mrs./Ms. __________________________, the deceased, of which *nomination / probate/ letter of administration / succession certificate was duly granted to me/us on the ___________ day of _______ of _________________ hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said deceased under Client ID _______ DP ID___________.

(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:

<table>
<thead>
<tr>
<th>Name</th>
<th>Client ID</th>
<th>DP ID</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(3) List of Documents enclosed (for Individual accounts) (tick as applicable):

A For surviving holder(s) in a joint account

□ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
B For nominee of the deceased:

☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

☐ In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the nominee.

C For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 lakh on the date of application

☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

☐ In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.

☐ Indemnity (format given at Annexure OC)

☐ Affidavit (format given at Annexure OD)

☐ No objection certificate(s) (format given at Annexure OE)

☐ Family Settlement Deed [as an alternate to No objection certificate(s)]

D For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 5 lakh or more on the date of application

☐ Copy of death Certificate duly attested by a Notary Public or by a Gazetted Officer

☐ In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.

☐ Succession certificate

☐ Letter of Administration

☐ Probate of the Will

(4) Declaration:

(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 Lakh on the date of application)

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:
(5) List of Documents enclosed (for HUF accounts) (tick as applicable):

A Value of holding not exceeding Rs. 10 lakh on the date of application. [Where there is an objection from any member of HUF, documents mentioned at B below must be submitted]

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
- Indemnity (format given at Annexure OF)
- Affidavit (format given at Annexure OG)

B Value of holding was Rs. 10 lakh or more on the date of application.

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- Court Decree
- Deed of Partition

C Separation/ partition of HUF:

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- Settlement Deed
- Deed of Partition
- Court Decree
(6) Signatures:

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of claimant</th>
<th>Signature of claimant</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Notes: 1. This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ legal representative(s)/ nominee / all surviving members of the HUF, as the case may be.
2. * Strike off whichever is not applicable.